Personal View

The obesity transition: stages of the global epidemic



The global prevalence of obesity has increased substantially over the past 40 years, from less than 1% in 1975, to 6-8% in 2016, among girls and boys, and from 3% to 11% among men and from 6% to 15% among women over the same time period. Our aim was to consolidate the evidence on the epidemiology of obesity into a conceptual model of the socalled obesity transition. We used illustrative examples from the 30 most populous countries, representing 77.5% of the world's population to propose a four stage model. Stage 1 of the obesity transition is characterised by a higher prevalence of obesity in women than in men, in those with higher socioeconomic status than in those with lower socioeconomic status, and in adults than in children. Many countries in south Asia and sub-Saharan Africa are presently in this stage. In countries in stage 2 of the transition, there has been a large increase in the prevalence among adults, a smaller increase among children, and a narrowing of the gap between sexes and in socioeconomic differences among women. Many Latin American and Middle Eastern countries are presently at this stage. High-income east Asian countries are also at this stage, albeit with a much lower prevalence of obesity. In stage 3 of the transition, the prevalence of obesity among those with lower socioeconomic status surpasses that of those with higher socioeconomic status, and plateaus in prevalence can be observed in women with high socioeconomic status and in children. Most European countries are presently at this stage. There are too few signs of countries entering into the proposed fourth stage of the transition, during which obesity prevalence declines, to establish demographic patterns. This conceptual model is intended to provide guidance to researchers and policy makers in identifying the current stage of the obesity transition in a population, anticipating subpopulations that will develop obesity in the future, and enacting proactive measures to attenuate the transition, taking into consideration local contextual factors.

Introduction

The concept of transition has been applied in demography,¹ epidemiology,² nutrition,³ tobacco,⁴ and cancer,⁵ among other fields,6 to describe trends in important population health parameters to provide insights into underlying determinants, positive deviants, and future trends. One of today's most important population health parameters is high BMI; of the 84 risk factors evaluated by the Global Burden of Diseases, Injuries, and Risk Factors Study 2017,7 high BMI had, by far, the greatest relative increase in exposure since 1990 and was among the top five risk factors in terms of attributable deaths and disability-adjusted life-years. There has been very little implementation of comprehensive, policy-based approaches;⁸ as a result, no country or subpopulation within a country has achieved a decrease in obesity, representing one of the biggest population health failures of our time.

Several previous global analyses have assessed mean population BMI or prevalence of overweight and obesity,⁹⁻¹⁴ but none has explored the patterns of the obesity epidemic as it spreads across subpopulations within countries. Although there is massive variability across countries in the prevalence of obesity as defined by a BMI of 30 kg/m² or greater—ranging from 0.2% in Vietnamese women to 65.3% in American Samoan women in 2016⁹—we propose that there are discernible patterns in broad changes in obesity prevalence over time, and that they can be grouped into predictable stages of the obesity transition. Understanding of these patterns will help to guide future research, improve predictions about future trends, and provide important context for policy and community-based approaches to obesity prevention. Furthermore, identifying these patterns might help to explain some of the wide disparities in obesity prevalence between and within countries¹⁵ that contribute to disparities in morbidity and mortality.^{16,17}

The aim of this Personal View is to consolidate evidence on the epidemiology of obesity into a proposed conceptual model of the obesity transition. We use illustrative examples from the 30 most populous countries in the world (herein referred to as mega countries), representing 77.5% of the world's population (5772229 thousand of 7444157 thousand; appendix pp 2-3) across various stages of economic development, and describe regional exceptions. We used obesity prevalence data from the NCD Risk Factor Collaboration⁹ and differences in the prevalence of obesity according to socioeconomic status were obtained from recent or commonly referenced global analyses.¹⁸⁻²⁴ Future trends in obesity prevalence in these countries will undoubtedly have a substantial effect on progress to achieve the WHO target of halting the rise in obesity prevalence by 2025.

Stages of the obesity transition Stage 1

In 1975, the majority (16 [53%]) of 30 mega countries had an obesity prevalence less than 5% across all demographic subpopulations (appendix pp 2–3). However, several countries had already entered into what we propose is stage 1 of the obesity transition (figure 1), which is characterised by a rise in obesity prevalence to above 5% in women—but not higher than 20%, which was the highest prevalence among the 30 mega countries in 1975—and a distinctly higher prevalence in women



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See Online for appendix



Figure 1: Conceptual model of the stages of the obesity transition SES=socioeconomic status.

compared with men and in adults compared with children. In 1975, countries at stage 1 were Mexico, Colombia, and Brazil in Latin America; several Middle Eastern countries (Egypt, Turkey, and Iran); Russia; and South Africa. In these countries, the prevalence of obesity was 2–9 times higher among women than in men, and the prevalence among children was less than 5%.

40 years later, in 2016, only Vietnam (where the prevalence of obesity was less than 5% across all demographic subpopulations) had not entered the obesity transition. All other lower income mega countries (per capita gross national income [GNI] <10000 [2016] international dollars [I\$]) had entered stage 1, including Bangladesh, India, Pakistan, and Myanmar in south Asia; and DR Congo, Ethiopia, Kenya, Nigeria, and Tanzania in sub-Saharan Africa (figure 2). In these countries, the prevalence of obesity among women was 5-14% and notably higher among women (average of about 10%) than among men (average of about 4%), and in adults (average of about 7%) than in children (average of about 2%; appendix pp 2-3). Also in stage 1, although further along within the stage, were countries with a higher per capita GNI in the region of southeast Asia (the Philippines, Indonesia, and Thailand; figure 2).

Stage 1 is further characterised by an increased prevalence of obesity among those with higher socioeconomic status compared with those with lower socioeconomic status, which is especially pronounced among women. An analysis²⁰ of Demographic and Health Survey data spanning 1991–2009 from 56 countries, including the stage 1 countries of Bangladesh, India, DR Congo, Ethiopia, Kenya, Nigeria, Tanzania, and the Philippines, found that the least educated women had an 11 percentage points lower prevalence of overweight or obesity (BMI \geq 25 kg/m²) compared with the most educated women. Although prevalence data for obesity among men and children in these settings disaggregated by socioeconomic status are rare, similar observations of positive associations of household wealth and educational attainment with overweight and obesity have been reported among adult men in Bangladesh and Indonesia.^{25–27}

Stage 2

Stage 2 of the obesity transition is broadly characterised by a large increase in the prevalence among adults, a smaller increase among children, a narrowing of the gap between sexes, and a narrowing of socioeconomic differences among women (figure 1). The prevalence of obesity among women at this stage tends to be in the range of 25-40%, among men nearer to 20%, and among children nearer to 10%. All of the countries at stage 1 in 1975 (eg, Mexico, Colombia, Brazil, Egypt, Turkey, Iran, Russia, and South Africa) were at stage 2 by 2016 (figure 3). The exceptional stage 2 countries include higher income east Asian mega countries (eg. China, South Korea, and Japan), where the prevalence of obesity defined with the traditional criterion (BMI \ge 30 kg/m²) was in the range of 4-7% among women, about 5% among men, and in the range of 2–15% among children (figure 3).

With regards to the narrowing of the sex differences and, among women, socioeconomic differences in stage 2, several previous country-specific analyses provide some insight, although they cover fairly short periods of time and as such should be interpreted with some caution. Nationally representative data from South Africa, for example, indicated that men had much greater relative increases in the prevalence of obesity from 2008 to 2012 than women,^{28,29} and that the positive association between socioeconomic status and obesity weakened among women although it remained positive among men.29,30 Colombia provides another illustrative example of the narrowing of the socioeconomic difference: the greatest relative increases in obesity in more recent years (2005-10) were among low-income individuals compared with highincome individuals, and, similar to South Africa, the positive association between wealth and obesity was stronger among men than among women.³¹ In Egypt, analysis of Demographic and Health Survey data from 1992-95 to 2005-08 also found that the greatest relative increases in the prevalence of obesity were among women with no or only primary education and in the poorest wealth quintile.32

Several middle-income countries are even further along in stage 2 in terms of closing sex and socioeconomic differences, including Brazil, Turkey, Russia, South Korea, and China. In Brazil, although the prevalence of obesity decreased among high-income women from 1989 to 1998,³³ it continued to increase among low-income women and across all income groups in men.²⁸ Notably, this example is the only instance we identified globally of a documented decline in obesity prevalence among a major subpopulation within a country, raising concern this finding could be unique or related to chance or sampling



Figure 2: Trends in age-standardised prevalence of obesity from 1975 to 2016 in mega countries currently in stage 1 of the obesity transition Obesity defined as BMI \geq 30 kg/m² for men and women (\geq 20 years old) and BMI >2 SD above the median of the WHO growth reference for boys and girls (5–19 years old). Data are from the NCD Risk Factor Collaboration, 2017.⁹ Mega=most populous in the world.

error. Cross-sectional data from Turkey indicate that regions that are more economically developed, where the education level is higher, had a lower obesity prevalence among women compared with less developed regions, although similar patterns were not seen for men.^{34,35} Similarly in Russia, women with a higher level of education were less likely to have obesity, but the effect of education on obesity among men was non-significant.³⁶

In South Korea and China, women with lower educational attainment were more likely to have obesity compared with women with higher educational attainment, although the opposite was true for men.^{37,38}

Stage 3

Stage 3 of the obesity transition is primarily characterised by a closing of the difference in prevalence between



Figure 3: Trends in age-standardised prevalence of obesity from 1975 to 2016 in mega countries in stage 2 of the obesity transition

Obesity defined as BMI \geq 30 kg/m² for men and women (\geq 20 years old) and BMI > 2 SD above the median of the WHO growth reference for boys and girls (5–19 years old). Data are from the NCD Risk Factor Collaboration, 2017.⁹ In Japan, South Korea, and China, cultural moderators of the obesity transition have strongly attenuated the absolute levels of obesity, especially among adults. Mega=most populous in the world.

sexes, and a reversal of the socioeconomic differences due to an acceleration of obesity among previously lower-BMI subpopulations in stage 2 (as opposed to declines in the prevalence of obesity in any subpopulation). The prevalence among children continues to increase in stage 3, although the patterns by sex and socioeconomic status among children are more mixed than for adults. In 2016, the USA and all European countries that we analysed (eg, Italy, France, Spain, Germany, and the UK) were at stage 3 (figure 4).

Several previous country-specific analyses of obesity lend support to the proposed reversal in the trend of obesity prevalence in different socioeconomic groups in stage 3 of the transition. In Spain, lower-income regions



Figure 4: Trends in age-standardised prevalence of obesity from 1975 to 2016 in mega countries in stage 3 of the obesity transition Obesity defined as BMI \geq 30 kg/m² for men and women (\geq 20 years old) and BMI >2 SD above the median of the WHO growth reference for boys and girls (5–19 years old). Data are from the NCD Risk Factor Collaboration, 2017.⁹ Mega=most populous in the world.

in the south had a higher obesity prevalence than did higher-income regions in the north,³⁹ and low-income individuals in the UK were more likely to have obesity than their high-income counterparts.⁴⁰ In an analysis of 15 European countries, from 1990 to 2010, the increase in obesity prevalence (based on self-reported height and weight) among men was greater in the low educational group than in the high educational group in all countries except Ireland and Scotland.⁴¹ Among women, the increase was larger in the low educational group in all countries except Ireland, Finland, Hungary, Austria, and Italy.⁴²

Stage 4

Globally, the upward trajectory of the obesity epidemic has been driven by strong period effects, perhaps with some cohort effects in more recent decades, suggesting that increasingly obesogenic influences have affected the whole population,43-46 albeit differently for different subpopulations as explained for stages 1, 2, and 3. The putative final stage of the obesity transition would be a declining prevalence. Will stage 4 of the obesity transition simply be the reverse of the patterns seen in earlier stages? Most of the early evidence of the obesity epidemic flattening is for children,⁴⁷ especially among those with high socioeconomic status in high-income countries, which could suggest that a strong cohort effect will characterise stage 4. In other words, the potential for reducing obesity prevalence in adults might be largely determined by leaner children entering adulthood, rather than reducing prevalence in the current generations of adults. This aspect is important because it might contribute to an increase in health inequalities in countries during stage 4, as trend data on the prevalence of obesity among school-aged children from the UK suggest.⁴⁹ There is a need for continued surveillance of the obesity epidemic across subpopulations within countries to further characterise this stage.

Modifiers of the obesity transition

Across countries, considerable variation is seen in the prevalence of obesity and the slope of obesity trajectories over time. This difference suggests that the underlying global determinants of obesity49 have a varying distribution or intensity across geographies and time, or that the global determinants influence risk of obesity differently depending on other local contextual factors. There are large, well-described environmental differences across countries, which are likely to be moderators of the effects of globalisation on obesity prevalence. Such environmental factors could be sociocultural (eg, cuisines, body size perceptions, and cultural characteristics), economic (eg, national wealth and distribution of wealth), policy (eg, political and regulatory regimes), or physical (eg, built environment).50 The creation of obesity susceptibilities by biological differences between populations (eg, in genetics, epigenetics, or the microbiome) is also a possibility.

Heterogeneity at the extremes can give insight into these potential modifiers. The main cluster of exceptional countries is in east Asia, where the absolute prevalence of obesity in adults is very low and the trajectory of increase



in prevalence is less steep compared with other mega countries at similar stages of economic development (figure 3). Here, the globalisation drivers, which are increasing obesity prevalence in all countries, have interacted with local contextual determinants to markedly attenuate the epidemic. East Asian individuals carry their propensity for low BMI when they migrate to other countries,⁵¹ suggesting that these determinants are in large part cultural, rather than geographical. Although common genetic variants are unlikely to account for these exceptional populations, given that identified common genetic variants explain less than 3% of the heritability of obesity,⁵² other more distributed and rare variants,⁵³ epigenetic differences,⁵⁴ or microbiome differences⁵⁵ could partly account for these observations.

Cultural factors could have a particularly important role. East Asian countries such as Japan are broadly classified as collectivistic cultures in which conformity to social norms can be a stronger driver of food behaviours than in more individualistic countries such as the USA, where emotional and environmental triggers are more powerful drivers56,57 and obesity is largely viewed as a matter of personal responsibility.58 A 2018 ecological analysis59 of 54 countries found that collectivism was significantly associated with decreased obesity, even accounting for other cultural dimensions and per capita GNI. This result is consistent with findings from a previous study⁶⁰ with fewer countries (only two from east Asia) that also reported an inverse association between collectivism and BMI. Further research is needed to understand the role that collectivistic culture and other cultural determinants could play in promoting or mitigating obesity. For example, in Japan, cultural values such as the importance of quality, traditional, small-portion meals have been translated into practices such as school food provision and a nutrition curriculum, and incorporation of education on nutrition, health, manners, aesthetics, and hygiene into the school lunch.61 If implemented as part of a comprehensive approach, such policies, grounded in cultural values, could affect the trajectory of the obesity epidemic.

Subnational variation in the obesity transition

Although a national obesity transition model is useful for informing national policies, substantial geographic variation in this transition can exist, thus warranting

Figure 5: Age-standardised state-level prevalence of obesity (BMI $\geq \! 30 \text{ kg/m}^2)$ by sex in India

Data from two large household surveys conducted in India from 2012 to 2014 were pooled: The District-Level Household Survey-4 and the second update of the Annual Health Survey. Both surveys are representative at the district-level and jointly cover all 29 states of India, except Jammu and Kashmir and Gujarat (shown in grey), and five of India's seven Union Territories. Height and weight were measured by trained study staff and sampling strategies for both surveys are presented in detail by Geldsetzer and colleagues.⁶² Estimates are age-standardised to the national population structure for India as estimated by the Global Burden of Disease Study 2013 (the mid-point year of data collection) and take into account complex survey design. The Union Territories, Chandigarh, Daman and Diu, and Puducherry are not visible in the map because of their small

area

subnational obesity prevalence estimates. Indeed, in countries where subnational estimates of obesity prevalence can be calculated such as India, China, Nigeria, and the USA, regions and states vary considerably in their stages of the obesity transition. Such regional effects often track with socioeconomic factors and disparities, such as in education, income, and access to societal infrastructure. To explore an example of subnational patterns in obesity prevalence, we analysed nationally representative data from India, a country that at the national level is at stage 1 of the obesity transition (figure 5). Our new state-level prevalence estimates of obesity (BMI \ge 30 kg/m²) suggest wide subnational variation, ranging from 0.6% in Bihar to 12.2% in Puducherry among women, and from 0.5% in Bihar to 7.5% in Goa among men. In China, similar to observations in India, there is substantial between-state variation: in 2013, the prevalence of obesity (BMI ≥28 kg/m²) ranged from 5.7% in Hainan and Guangxi to 25.9% in Beijing.⁶³ In Nigeria, the prevalence of overweight and obesity combined (BMI \geq 25 kg/m²) in 2008 among women ranged from 10.5% in Yobe (northeast) to 50.2% in Lagos.⁶⁴ Subnational heterogeneity also exists in high-income countries. For example, in the USA, estimates of obesity (BMI \geq 30 kg/m²) based on self-report data collected in 2016 showed that the prevalence ranged from 22.6% among adults in Massachusetts to 37.7% in West Virgina,65 a relatively narrower range compared with India, China, and Nigeria. Understanding the effects of national and subnational modifiers on absolute levels of obesity and trajectories will be an important area of future research and will enable refinement of the obesity transition theory.

Implications

We have proposed three broad stages of the obesity transition that could help to predict which subpopulations will be at greatest risk of obesity as a country passes through each stage. Countries vary widely in terms of their magnitudes and trajectories of obesity prevalence, suggesting that local moderating factors interact with global drivers of obesity to attenuate or accentuate their effects, or that there are differences in intensity of exposure to the global drivers. There are too few signs of countries entering a proposed fourth stage of declining obesity prevalence to predict the potential demographic patterns of this stage, except that higher-income young children in some high-income countries might be the first group to show flattening in obesity prevalence.48 This feature of stage 4 might worsen existing socioeconomic inequities in obesity in these countries. At present, although the prevalence of obesity might no longer be rapidly increasing in some subpopulations at later stages of the transition, it is plateauing at a high prevalence. This finding has important adverse implications for future obesity-related disability and mortality.

Existing monitoring systems for obesity, such as the NCD Risk Factor Collaboration 9,10 the WHO Global Health

Observatory,66 the Global Burden of Disease Study,13,14 and the Global Nutrition Report,⁶⁷ are rich sources of quantitative data on age-specific and sex-specific BMI, overweight, and obesity over time. The obesity stages proposed in this Personal View complement such quantitative data by providing a theoretical framework to describe the underlying findings and transitions. We leave to future research the task of evaluating possible factors that determine the underlying drivers of transition between stages. Governments seeking specific recommendations to combat the obesity epidemic-at any stage of the transition-can consult The Lancet Commission report on obesity,68 two previous Series on obesity published in The Lancet in 2011^{49,69-71} and 2015,^{8,72-76} the 2017 Lancet Diabetes and Endocrinology Series on obesity,77-79 and several WHO guidelines—eg, the Global Action Plan on Physical Activity 2018-2030⁸⁰ and the report of the Commission on Ending Childhood Obesity.⁸¹

Several questions remain to be answered by further research, including: what determines how quickly a country transitions from stage 1 to stage 2, and from stage 2 to stage 3? Will countries transition into a final stage of declining obesity prevalence? If so, what is the lag time between stage 3 and stage 4? These future refinements could mirror the theory of the epidemiological transition, which, when first proposed by Omran² in 1971, did not include a stage of declining degenerative disease and was refined to include this stage some 15 years later.⁸² Additionally, our new finding of substantial variation in obesity prevalence across states in India—and the lack of such estimates for most of the other mega countries evaluated-support the need for representative subnational data on obesity to better inform programmes and policies, as well as to generate new hypotheses regarding the underlying mechanisms leading to obesity. Finally, there are approximately 300 million Indigenous peoples living in 90 countries across the globe,⁸³ and data suggest that Indigenous people are at increased risk of developing obesity.⁸⁴⁻⁸⁷ To date, most data on obesity in Indigenous people are from just four countries: Australia, New Zealand, the USA, and Canada.⁸³ This data gap should be addressed in order to integrate trends in this important subpopulation into the larger obesity transition framework.

Other global transitions have been described. The health or demographic transition is a process whereby mortality rates decline, and, later on, fertility rates also decline.¹ The epidemiological transition is a shift in cause of death from infection and undernutrition to chronic, noncommunicable diseases, and injuries.² The nutrition transition has been proposed as a set of dietary changes related to economic development away from traditional healthful diets and toward a pattern of westernised, highly processed, and refined foods.³ Some of the underlying determinants of these transitions—namely urbanisation, economic development, and cultural changes including increasing individualism and consumerism—might also

Panel: Research and policy priorities aided by the obesity transition model

- Classify the obesity epidemic in a progressive, predictable sequence
- Identify the current stage of the obesity transition in a population, and evaluate potential for specific preventive interventions that might be most suited to a particular stage
- Anticipate subpopulations that will develop obesity in the future as economies grow, and enact proactive measures to attenuate the transition
- Use as a reference for current and past staging along the transition, thus useful for monitoring and surveillance purposes and comparisons to other nations
- Encourage ongoing surveillance efforts to address underserved populations

Search strategy and selection criteria

The availability of longitudinal weight and height data from countries throughout the world has made it possible to define the broad stages of the obesity transition and predict which subpopulations will be at greatest risk of obesity as a country develops economically. These are patterns of transition, and the cutoffs to define each stage of the transition in terms of absolute obesity prevalence are only descriptive. Our proposed model incorporates standard demographic variables routinely collected by governments (eg, sex, age [children vs adults], residence [geographic subdivisions such as states or provinces], and socioeconomic status [educational attainment and household wealth]), to define key subpopulations and facilitate dissemination of the model to stakeholders, support new rapid assessments or secondary data analyses, and allow for subsequent refinement of the model. We relied on publicly available data from the NCD Risk Factor Collaboration on the age-standardised prevalence of obesity among adults (aged ≥20 years) and children (aged 5–19 years), stratified by sex, with differences in obesity prevalence according to socioeconomic status obtained from recent or commonly referenced global analyses. We also searched the reference lists of these articles and selected those we judged relevant. Because these previous analyses largely used Demographic and Health Survey data (restricted to women of reproductive age in low-income and middle-income countries) or World Health Survey data (restricted to 2002-03), we also reviewed articles published in PubMed in the past 10 years identified with the terms "obesity" AND "[country name]" for the 30 mega countries.

underlie the obesity transition. Massive changes have also occurred in the global food system in the past 40 years, including changes in breeding, agricultural production, and transport of starch-rich crops; in food manufacturing, processing, preservation, and marketing; and in frequency and timing of eating, drinking, and snacking episodes. Ultimately, a robust, quantitative understanding of these different drivers and their potential modifiers will facilitate successful strategies to push societies into the final proposed stage 4 of the obesity transition—ie, declining obesity prevalence. If such strategies are not vigorously evaluated, implemented, and scaled—and, in the absence of strong public demand and political will for change, they are not likely to be—societies will witness continuing high rates of obesity with accompanying tremendous societal health and economic costs.

The proposed theoretical framework for the obesity transition is not without limitations. We used BMI, which, although consistent with previous global analyses of obesity,9-14 is a surrogate measure of excess body fat. However, direct measures such as dual-energy x-ray absorptiometry are far too expensive and inconvenient to be used in the large, population-based studies required to monitor the obesity transition. Moreover, a systematic review of surrogate measures of excess body fat including BMI but also waist circumference and waist-to-hip ratio did not find that any of the measures had improved discriminatory capability of adverse cardiometabolic outcomes.88 We used a single cutpoint to define obesity $(\geq 30 \text{ kg/m}^2)$, as supported by large cohort studies and cohort pooling studies in Asian and developed populations, which have shown that the risk of adverse cardiometabolic outcomes increases from similarly low BMIs in both groups.⁸⁹⁻⁹² This definition is also consistent with WHO recommendations.⁹³ Finally, the proposed framework also simplifies age by comparing children aged 5-19 years and adults aged 20 years or older, which overlooks potential differences between younger children (eg, 5-9 years) versus adolescents (eg, 10-19 years), and reproductiveaged adults (eg, 20-49 years) versus older adults (eg, ≥ 65 years). More detailed appraisals of the obesity transition should consider these narrower age groups.

The panel outlines how the obesity transition model that we propose could provide guidance to researchers and policy makers and thereby improve efforts ongoing in research, surveillance, and intervention to address the global obesity epidemic.

Contributors

LMJ, SV, AP, BS, and ME co-conceived the paper. LMJ conducted the literature review. LMJ wrote the first draft of the manuscript with significant input from BS and ME. All authors provided critical input and approved the final version. LMJ is the guarantor of the work.

Declaration of interests

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